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108. A Photoelectron-spectroscopic investigation of the Homoconjugative Interaction between π - and Walsh-Orbitals in endo- and exo-Cyclopropano-norbornene¹),²)

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Summary. Photoelectron spectra of endo- and exo-cyclopropano-norbornene (= endo- and exo-tricyclo[$3.2.1.0^{2.4}$]octa-6-ene) show that a significant homoconjugation exists between the π -orbital of the double bond and the symmetric Walsh-es-orbital of the cyclopropane ring in the exo-isomer, whereas the interaction is negligeable in the endo-derivative.

In many cases the characteristics of the photoelectron (PE) spectrum of a molecule largely depend on its configuration and/or conformation.

A typical example is provided by conjugated dienes for which the overlap controlled interaction between the two π -orbitals, π_1 and π_2 , leads to an orbital energy split $\varepsilon ((\pi_1 - \pi_2)/\sqrt{2}) - \varepsilon ((\pi_1 + \pi_2)/\sqrt{2})$ which is a function of the angle of twist φ between the neighbouring double bonds. As a consequence, the difference $\Delta I_{\pi} = I_{\pi}(2) - I_{\pi}(1)$ between the positions $I_{\pi}(1)$ and $I_{\pi}(2)$ (in eV) of the two π -bands in the PE. spectrum of a diene depends also on φ : e.g. for 1, 3-cyclohexadiene, $\Delta I_{\pi} = 2.5 \text{ eV}$; for 1, 3-cyclooctadiene, $\Delta I_{\pi} = 1.3 \text{ eV}$ [2]. Making use of this relationship between ΔI_{π} and φ Brundle & Robin [3] have shown that for hexafluorobutadiene the angle of twist is $\varphi = 50^{\circ}$.

Similar observations apply to the conjugation of cyclopropyl groups with double bonds; in this case the conjugative interaction of the *Walsh*-orbitals \mathbf{e}_S , \mathbf{e}_A of the cyclopropyl moiety with the π -orbital of the double bond is at a maximum in compounds for which the relative orientation of the two subsystems corresponds to the so-called bisected conformation: *e.g.* in bullvalene (1), in dihydro- and tetrahydro-bullvalene (2, 3) [4], in homofulvenc (4) [1]. On the other hand, the interaction between the two moieties becomes quite small in molecules in which their orientation corresponds to the perpendicular conformation: *e.g.* homotropylidene (5) [4]. As a final example



¹) Part 23 of 'Applications of Photoelectron Spectroscopy'. Part 22: [1].

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²) We use the less cumbersome designation *exo-* or *endo*-cyclopropano-norbornene instead of *exo-* or *endo*-tricyclo[3.2.1.0^{2.4}]oct-6-ene.

we mention the large difference in the splits ΔI_{π} observed for *cis,cis*-1,6-cyclodecadiene (6) $(\Delta I_{\pi} = 0.50 \text{ eV})$ and *trans,trans*-1,6-cyclodecadiene (7) $(\Delta I_{\pi} = 1.70 \text{ eV})$ [2].



It has become obvious however that the successful detection of configurational and/or conformational effects by PE. spectroscopy depends on the following conditions:

a) The semilocalised basic orbitals φ_a , φ_b , ... of the interacting moieties should be degenerate ($\varepsilon(\varphi_a) = \varepsilon(\varphi_b) = ...$) (*i.e.* related by symmetry), or nearly (accidentally) degenerate ($\varepsilon(\varphi_a) \approx \varepsilon(\varphi_b) \approx ...$).

b) If $\varepsilon(\varphi_a) \neq \varepsilon(\varphi_b)$, then the interaction matrix element $\langle \varphi_a | \mathcal{H} | \varphi_b \rangle$ must be large compared to $|\varepsilon(\varphi_a) - \varepsilon(\varphi_b)|$.

c) The PE. bands corresponding to the ejection of an electron from the resulting mixed orbitals $\psi_{J} = C_{Ja}\varphi_{a} + C_{Jb}\varphi_{b} + \dots$ must be well detatched from the usually strongly overlapping σ -bandsystem, at higher ionisation potentials.

d) Finally, it is essential that reference spectra of a series of compounds having the same or at least a similar topography and each containing only one of the basic orbitals φ_{a} , φ_{b} , φ_{n} should be available for obtaining correlation diagrams.

For example: i) cis, cis, cis, cis, 1, 4, 7-cyclononatriene (8) the three basic π -orbitals are symmetry equivalent. In consequence, their homoconjugative interaction can be easily detected by PE. spectroscopy [5].



In contrast, for bicyclo[1.2.4]nonatriene (9), where the π -orbitals of the diene moiety and the single double bond are far from being degenerate, homoconjugation leads to band positions which do not differ significantly from those observed in the reference compounds 1, 3-cycloheptadiene and norbornene [5].

ii) The ionisation potential corresponding to the ejection of an electron from the oxygen lone pair orbital of the hydroxyl group in substituted cyclohexanes is expected to be different for the axial and equatorial positions respectively. Preliminary results for *cis*- and *trans*-4-t-butylcyclohexanol (10 and 11) indicate however that this difference cannot be determined with complete



certainty³), as the corresponding PE. bands are strongly overlapped by the remaining σ -bands of the alkyl moiety [6].

³) This preliminary investigation, carried out jointly with Prof. J. Reisse (Université Libre de Bruxelles), indicates that the vertical ionisation potentials $I_v(n)$ for lone pair ionisation are: $I_v(n, axial) = 9.9 \text{ eV}$ for 10 and $I_v(n, equatorial) = 9.75 \text{ eV}$ for 11; the onset of the bands occurs at 9.6 eV for 10 and 9.4 for 11.

At the present stage of the art of PE. spectroscopy it is obviously relevant to assess the range of those conformational and configurational problems to which this technique can make significant contributions.

In view of our current interest in the behaviour of *Walsh*-orbitals and in the problem of homoconjugation, the set of compounds 12 to 18 seemed ideal to answer the following: (a) To what extent do *Walsh*-orbitals enter into homoconjugation with π -orbitals? (b) Does such an interaction depend on configurational changes of the *exo- endo*-type? (c) Is PE. spectroscopy at all suited to yield reliable answers in such situation?



The PE. spectra of **12** (norbornane), **13** (norbornene) and **14** (norbornadiene) have already been described [7]. Their characteristics are given in the Table, together with the, newly determined, ionisation potentials of **15** (*endo*-cyclopropano-norbornane), **16** (*endo*-cyclopropano-norbornene), **17** (*exo*-cyclopropano-norbornane) and **18** (*exo*cyclopropano-norbornene). The PE. spectra of **16** and **18** are shown in Fig. 1.

The spectra have been recorded on a PS-16 Photoelectron spectrometer of *Perkin-Elmer Ltd.* (Beaconsfield, England) [8]; for experimental details the reader is referred to previous publications in this series, *e.g.* [4].

This discussion is based on semi-localised orbitals, *i.e.* the π -orbital of the double

$$\mathbf{e}_{\rm S} = (1/l/2) \ (2\mathbf{p}_2 - 2\mathbf{p}_3)$$

$$\mathbf{e}_{\rm A} = (1/l/6) \ (2 \cdot 2\mathbf{p}_1 - 2\mathbf{p}_2 - 2\mathbf{p}_3)$$
(1)

bond and the *Walsh*-orbitals [9] of the cyclopropyl moiety. The labels and sign convention are defined as follows:



In cyclopropane (C_3H_6 ; symmetry D_{3h}) \mathbf{e}_S and \mathbf{e}_A are degenerate (\mathbf{e}'), belonging to the irreducible representation E' of the group D_{3h} . An orbital energy value ($\varepsilon(\mathbf{e}_A) = \varepsilon(\mathbf{e}_S) = -10.9 \text{ eV}$) is derived from the mean ionisation potential ($I_{\mathbf{v}}(^2E') = 10.9 \text{ eV}$), which corresponds to the centre of the *Jahn-Teller*-split PE-band (1) of cyclopropane;

 $(I_v = 10.5 \text{ eV and } 11.3 \text{ eV } [10])$. By taking $\varepsilon(\mathbf{e}_A) = \varepsilon(\mathbf{e}_S) = -I_v(^2E')$ we have implicitly assumed that *Koopmans'* theorem [11] is valid.

We shall now investigate the influence of alkyl substituents on the orbital energies $\varepsilon(\mathbf{e}_A)$ and $\varepsilon(\mathbf{e}_S)$ of the cyclopropane ring, in order to obtain the orbital energies of the basic functions \mathbf{e}_S and \mathbf{e}_A to be used in the discussion of the PE. spectra of **15**, **16**, **17** and **18**.





In nortricyclene (19) and hexahydrobullvalene (20) the threefold axis is conserved (symmetry C_{3v}) and the *Walsh*-orbitals \mathbf{e}_A , \mathbf{e}_S are therefore still degenerate. From the PE. spectra we obtain: for 19, $\varepsilon(\mathbf{e}_A) = \varepsilon(\mathbf{e}_S) = -9.7$ eV [12], and for 20, $\varepsilon(\mathbf{e}_A) = \varepsilon(\mathbf{e}_S) = -9.3$ eV [4]. Assuming that the shift in $\varepsilon(\mathbf{e}_A)$ and $\varepsilon(\mathbf{e}_S)$ (induced by an alkyl substituent in position μ of the cyclopropane ring) is proportional to the squared coefficient of the atomic orbital $2\mathbf{p}_{\mu}$ in the linear combination \mathbf{e}_S or \mathbf{e}_A (see 1), we find that the perturbation parameter $\langle 2\mathbf{p}_{\mu} | \mathfrak{h} | 2\mathbf{p}_{\mu} \rangle$ per substituent amounts to +1.2 eV in 19 and +1.6 eV in 20.



Fig. 2. Correlation diagram for the orbital energies of the top occupied orbitals in cyclopropane, bicyclo[0.1.6]octane and hydrocarbons 13–18

The orbital energies ε are those obtained on the assumption $\varepsilon = -I_v$ (Koopmans' theorem). The shading under the first σ -level indicates that this is followed by a series of lower lying levels which give rise to the system of strongly overlapping PE. bands at higher ionisation potentials

Using the parameter obtained from 19 we can predict that in methylcyclopropane (21), the orbital energy $\varepsilon(\mathbf{e}_{\rm S})$ remains unperturbed (- 10.9 eV) whereas $\varepsilon(\mathbf{e}_{\rm A})$ is shifted by $(2/\sqrt{6})^2 \cdot 1.2 = 0.8$ eV to a value of $\varepsilon(\mathbf{e}_{\rm A}) = -10.1$ eV. This prediction is in com-

plete agreement with observation [13] and confirms the numerical value of our parameter.

For bicyclo[0.1.6]nonane (22) the perturbation parameter $\langle 2\mathbf{p}_{\mu} | \mathbf{b} | 2\mathbf{p}_{\mu} \rangle = 1.6 \text{ eV}$ derived from the PE. spectrum of 20 is that to be used, in view of the size of the substituting alkyl moiety. In this case, both \mathbf{e}_{A} and \mathbf{e}_{S} are shifted, \mathbf{e}_{A} by $((1/\sqrt{6})^{2} + (1/\sqrt{6})^{2}) \cdot 1.6 = 0.5 \text{ eV}$ and \mathbf{e}_{S} by $((1/\sqrt{2})^{2} + (1/\sqrt{2})^{2}) \cdot 1.6 = 1.6 \text{ eV}$. This leads to the prediction $\varepsilon(\mathbf{e}_{A}) = -10.4 \text{ eV}$ and $\varepsilon(\mathbf{e}_{S}) = -9.3 \text{ eV}$, which can be compared with the experimental values for the first two PE.-bands (1) and (2) in the PE. spectrum of 22: $I_{v}(1) = -\varepsilon(\mathbf{e}_{S}) = 9.4 \text{ eV}$, $I_{v}(2) = -\varepsilon(\mathbf{e}_{A}) = -10.0 \text{ eV}$. It should be borne in mind that we are completely neglecting the changes in $\varepsilon(\mathbf{e}_{A})$ and $\varepsilon(\mathbf{e}_{S})$ due to conjugation with lower lying or antibonding σ -orbitals of the remaining σ -frame; it is obvious that these effects, which are known to be significant [14] [15], must be of different size in 20 and in 22.

Comparing the positions of the bands (1) and (2) of *endo*- and of *exo*-cyclopropano-norbornane (15 and 17) with those of 22, there is no doubt that the two highest bonding orbitals in 15 and 17 must be localised almost entirely in the cyclopropyl moiety, *i.e.* they are to be identified with \mathbf{e}_{s} and \mathbf{e}_{A} respectively.

This assignment is justified as follows: The first band in the PE. spectrum of norbornane (12) is found at $I_v(1) = 10.2 \text{ eV}$ [7] which corresponds to the ejection of an electron from the highest occupied orbital of the norbornane σ -system ($\varepsilon(\sigma) = -10.2$ eV). Successive introduction of double bonds shifts $\varepsilon(\sigma)$ to -10.6 eV in 13 and to -11.3 eV in 14 [7]. Assuming that the replacement of the double bond by a cyclopropano group will cause the same shifts, then the highest σ -orbital not of a Walsh-type in 15 and 17 is expected to be well below -10.2 eV, presumably around -10.6 eV. In consequence we have to assign band (3) in the PE. spectra of 15 ($I_v(3) = -10.8 \text{ eV}$) and 17 ($I_v(3) = -10.7 \text{ eV}$) to an ionisation process in which the photoelectron leaves this particular σ -orbital. It follows that bands (1) and (2) in the PE. spectra of 15 and 17 have to be correlated with \mathbf{e}_s and \mathbf{e}_A , as previously shown.

Referring to the correlation diagram of Fig.2, the levels shown correspond to orbital energies taken from the corresponding PE. spectra with the implicit assumption that $\varepsilon(J) = -I_v(J)$, the latter being the vertical ionisation potential or, more precisely, the position of the maximum of the PE. band (J). The σ -orbital energies of the saturated hydrocarbons cyclopropane, **15**, **17** and **22** have been discussed above.

The centre of the correlation diagram summarises our previous results concerning the interaction of the two π -orbitals in norbornadiene (14) [7]. It had been assumed originally that the observed split $\varepsilon(\pi_{SA}) - \varepsilon(\pi_{SS}) = 0.8_5$ eV is due solely to through space interaction [16], but recent results show that through bond interactions are by no means negligible [14]. Nevertheless, we shall discuss orbital interactions between π - and Walsh-orbitals as if the through bond contribution could be neglected (c.f. [15]). We shall use a value of $\varepsilon(\pi) = -9.1$ eV for the orbital energy of a basic π -orbital in 16 and 18.

Conversely, we assume that the presence of a double bond in 16 and 18 lowers the orbital energies $\varepsilon(\mathbf{e}_{\rm S})$ and $\varepsilon(\mathbf{e}_{\rm A})$ of the *Walsh*-orbitals by the same amount, *i.e* \approx -0.1 eV. This will place them (relative to their values in 15 and 17) at the following energies: 16, $\varepsilon(\mathbf{e}_{\rm S}) = -9.5 \,\mathrm{eV}$, $\varepsilon(\mathbf{e}_{\rm A}) = -10.3 \,\mathrm{eV}$; 18, $\varepsilon(\mathbf{e}_{\rm S}) = -9.5 \,\mathrm{eV}$, $\varepsilon(\mathbf{e}_{\rm A}) = -10.1 \,\mathrm{eV}$. To summarise, the orbital energies of the basic orbitals (in eV) are:

Orbital	16	18	
π	- 9.1	- 9.1	
es	- 9.5	- 9.5	(3)
eA	-10.3	-10.1	

It should be noted that this assignment of orbital energies to the basic orbitals $\boldsymbol{\pi}$, \mathbf{e}_{S} and \mathbf{e}_{A} is consistent with the observed mean values $\boldsymbol{\overline{\epsilon}} = (\epsilon(\boldsymbol{\pi}) + \epsilon(\mathbf{e}_{\mathrm{S}})/2)$ for the two highest occupied orbitals in the compounds 16 and 18, *viz*.:

16
$$\overline{\epsilon} = -(9.03 + 9.5)/2 = -9.27 \text{ eV}$$

18 $\overline{\epsilon} = -(8.90 + 9.61)/2 = -9.26 \text{ eV}$ (4)
(Basis: $\overline{\epsilon} = -(9.1 + 9.5)/2 = -9.30 \text{ eV}$)

From these agreeing values we conclude that we can justifiably calculate an interaction term $\varkappa = \langle \pi_{\rm S} | \mathcal{H} | \mathbf{e}_{\rm S} \rangle$ according to the simple pythagorean rule [17]

$$\left(\frac{\varepsilon(\boldsymbol{\pi}) - \varepsilon(\mathbf{e}_{\mathrm{S}})}{2}\right)^2 + \varkappa^2 = \left(\frac{\varepsilon(1) - \varepsilon(2)}{2}\right)^2 \tag{5}$$

where $\varepsilon(1)$ and $\varepsilon(2)$ are the orbital energies of the two highest occupied molecular orbitals from which electrons are ejected in the ionisation processes leading to the bands (1) and (2) in the PE. spectra of 16 and 18).

Inserting in (5) of the values for $\varepsilon(\pi)$ and $\varepsilon(\mathbf{e}_{s})$ (given in (3)) and those for $\varepsilon(1) = -I_{v}(1)$ and $\varepsilon(2) = -I_{v}(2)$, given in the Table, it is obvious that in the case of the *endo*-derivative (16) the interaction parameter \varkappa becomes zero within the limits of error. On the other hand we find that $\varkappa = -0.3$ eV for the *exo*-derivative (18), indicating that in this isomer we have substantial interaction between the π -orbital and the *Walsh*-orbital.

PE. band Compd. ⊕ 2 3 4 **(**5) 6 12 10.15 10.7 -----..... ____ 13 10.5 11.85 8.95 -14 9.55 12.5₀ 8.70 11.2_{5} 15 9.4 12.0₀ 10.2₀ 10.7 11.4_{0} 16 9.05 9.50 10.3₀ 12.2₀ 11.3₀ 9.4_{0} 10.0₀ 10.8_{0} 11.5_{0} 11.80 17 _ 18 9.60 10.2_{0} 8.9 11.5_{5} 11.90 12.5_{5}

Ionisation potentials $I_{v}(J)$ in eV, for the hydrocarbons 12 to 18

The reason for this characteristic dependence of the interaction term \varkappa on the configuration of the cyclopropane ring in 16 and 18 is immediately evident on consideration of the molecular models. In the *endo*-derivative (16) the two atomic orbitals $2\mathbf{p}_2$ and $2\mathbf{p}_3$ of \mathbf{e}_S are directed away from the *endo*-oriented lobe of the π -orbital π of the double bond. On the other hand, $2\mathbf{p}_2$ and $2\mathbf{p}_3$ of \mathbf{e}_S are directed towards this lobe in the *exo*-derivative (18). A calculation yields the following values for the overlap: $S = \langle \pi | \mathbf{e}_S \rangle$: S (*endo*) = 0.02 in 16; S(*exo*) = 0.12 in 18. The above result, *i.e.* \varkappa (*endo*) \approx 0 eV and \varkappa (*exo*) \approx - 0.3 eV is thus in complete agreement with that expected on theoretical grounds.

All values refer to the position of the band maxima and have been rounded to the nearest 0.05 eV. The numbers in circles refer to those in fig. 1. Values for **12**, **13** and **14** from [7].

Furthermore, the PE. spectroscopic behaviour of 16 and of 18 is also in agreement with chemical observations. The configurational change endo $\rightarrow exo$ of the cyclopropano group in cyclopropano-norbornene is accompanied by an extraordinary change in the course of their photo-induced and metal-catalysed valence isomerisation reactions [18]. Thus, both the chemical evidence concerning the tricyclic systems 16 and 18 and their PE. spectra, point to the dominating influence of stereoelectronic factors, *i.e.* the homoconjugative interaction between the π -orbital of the double bond and the Walsh-orbital \mathbf{e}_s of the cyclopropane ring.

Extended Hückel calculations (EHT.) [19] for the hydrocarbons 15 to 18 confirm the conclusions derived above. The orbitals which have to be classified as essentially \mathbf{e}_{s} and \mathbf{e}_{A} in the saturated compounds 15 and 17 are found to have the following orbital energies: for 15, $\varepsilon(\mathbf{e}_{s}) = -12.06 \text{ eV}$, $\varepsilon(\mathbf{e}_{A}) = -13.10 \text{ eV}$; for 17, $\varepsilon(\mathbf{e}_{s}) = -12.38 \text{ eV}$, $\varepsilon(\mathbf{e}_{\rm A}) = -12.57 \text{ eV}$. (In the case of 15 an additional σ -orbital is found at -12.86 eV; from previous experience with the ETH., model, as applied to PE. spectroscopy, it is highly probable that this is an artefact and therefore the orbital should occur at lower energies.) In 16 and 18 the orbital energies of the linear combinations to be characterised by $\pi - \lambda \mathbf{e}_s$ and $\mathbf{e}_s + \lambda \pi (\lambda \ll 1$ being a positive constant) are: for 16, $\varepsilon(\pi - 1)$ $\lambda \mathbf{e}_{s}$ = - 12.06 eV, $\varepsilon(\mathbf{e}_{s} + \lambda \pi)$ = - 12.30 eV; in 18, $\varepsilon(\pi - \lambda \mathbf{e}_{s})$ = - 12.00 eV, $\varepsilon(\mathbf{e}_{s} + \lambda \pi)$ $\lambda \pi$ = - 12.61 eV. Therefore the following splits between the bands (1) and (2) are predicted: 0.24 eV for 16 and 0.62 eV for 18; they have to be compared with the experimental results, $I_v(2) - I_v(1) = 0.45$ eV and 0.70 eV respectively. The fact that the combination $\pi - \lambda \mathbf{e}_{s}$ is found to lie above $\mathbf{e}_{s} + \lambda \pi$, is consistent with the assumption that through space interaction dominates, or in other words, that the interaction term \varkappa is a negative quantity.

We are now in a position to answer the questions raised at the beginning of this paper:

a) There is substantial homoconjugation in *exo*-cyclopropano-norbornene **18**, due to the direct overlap between the π -orbital of the double bond and the symmetric *Walsh*-orbital $\mathbf{e}_{\rm S}$ of the cyclopropano moiety. The term $\varkappa(exo) \approx -0.3$ eV, which describes this interaction in a formal way, is slightly smaller than that observed for the interaction between the two bonding π -orbitals of the double bonds in norbornadiene **14** ($\varkappa \approx -0.4$ eV; see however [14]); the negative sign for \varkappa is in accordance with the results derived from an EHT. model, which puts the linear combination with the node higher than that without node. Our analysis indicates that the through-space interaction between π (of the double bond) and $\mathbf{e}_{\rm S}$ (in the cyclopropane ring) dominates the through bond interaction.

b) The homoconjugation in cyclopropano-norbornene is strongly dependent on configuration; there is no detectable interaction in the *endo*-isomer 16. This behaviour is consistent with that predicted on the assumption that the interaction term \varkappa is proportional to the overlap $S = \langle \pi | \mathbf{e}_S \rangle$ and with the results obtained by EHT. treatment; it is also that expected on chemical grounds.

c) The PE. spectra of **16** and of **18**, shown in fig. 1, suggest that the greater homoconjugative interaction between the double bond π -orbital and the \mathbf{e}_{s} Walsh-orbital of the cyclopropano group can be easily detected, as shown by the larger split between the bands (1) and (2) in the spectrum of **18**. However, the differences in the corresponding ionisation potentials, *i.e.* $I_v(2) - I_v(1)$, are only 0.4_5 eV for **16** as compared with 0.7_0 eV for **18**. This means that no significant conclusions could have been drawn if only these two spectra, let alone that of only one of the isomers, had been available. The deductions concerning homoconjugation in **16** and **18** have only been made possible by investigation of *all* compounds listed in the correlation diagram of Fig. 2.

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